

Plane Regions Determined by Complex Moments

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1. INTRODUCTION

Let B designate a bounded region assumed to lie in the complex $z = x + iy$ plane. The complex numbers

$$\tau_n = \iint_B z^n dx dy, \quad n = 0, 1, 2, \dots, \tag{1.1}$$

will be called the *complex moments* of the region B . To what extent is B determined uniquely by the numbers τ_n or by a subset of them? If there is no uniqueness, how might the family \mathcal{B} , from which competing regions B have been extracted, be restricted so that uniqueness results? This is obviously a question about the completeness (in some sense) of the complex powers z^n , $n = 0, 1, \dots$.

In dealing with two dimensional regions, one normally deals with the real moments

$$\mu_{m,n}(B) = \mu_{m,n} = \iint_B x^m y^n dx dy, \quad m, n = 0, 1, \dots \tag{1.2}$$

Introducing the numbers $\tau_{m,n}$ by means of

$$\tau_{m,n} = \iint_B z^m \bar{z}^n dx dy, \quad m, n = 0, 1, \dots, \bar{z} = x - iy, \tag{1.3}$$

one has

$$\tau_{m,n} = \sum_{\substack{j=0 \\ k=0}}^{m,n} (i)^{m-j} (i)^{n-k} \binom{m}{j} \binom{n}{k} \mu_{j+k, m+n-j-k} \quad (i = (-1)^{1/2}) \tag{1.4}$$

or, in the reverse direction,

$$\mu_{m,n} = (-i)^n 2^{-m-n} \sum_{\substack{j=0 \\ k=0}}^{m,n} \binom{m}{j} \binom{n}{k} \tau_{j+k, m+n-j-k}. \tag{1.5}$$

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Thus, given all the moments $\mu_{m,n}$, we may determine all the moments $\tau_{m,n}$ and vice versa. The matrix

$$M = (\tau_{m,n}) \tag{1.6}$$

cannot be arbitrary. It is, for example, Hermitian ($M = M^*$), so that only the upper triangle is necessary to reconstruct all the moments $\tau_{m,n}$. Then, again, its elements must satisfy the Schwarz Inequalities

$$|\tau_{m,n}|^2 \leq \tau_{m,m}\tau_{n,n}. \tag{1.7}$$

With respect to the moments (1.2) or (1.3), the uniqueness situation is governed by the following typical theorems that are based upon the real powers $x^m y^n$, $m, n = 0, 1, 2, \dots$.

THEOREM. *Let \mathcal{B} designate the family of plane sets which are measurable and which lie in a fixed disc C . Let $L(C)$ designate the class of functions that are defined on C and are integrable. Let $f_n(x, y)$, $n = 1, 2, \dots, \in L(C)$. Given B and $D \in \mathcal{B}$. A necessary and sufficient condition that*

$$\iint_B f_n(x, y) dx dy = \iint_D f_n(x, y) dx dy, \quad n = 1, 2, \dots, \tag{1.8}$$

imply $B = D$ a.e. is that the sequence $f_n(x, y)$ be complete in $L(C)$.

THEOREM. *Let B and D be bounded open sets which possess exterior points in the neighborhood of any boundary point. Then,*

$$\iint_B x^m y^n dx dy = \iint_D x^m y^n dx dy, \quad m, n = 0, 1, \dots, \tag{1.9}$$

implies $B = D$.

For proofs of these statements see, e.g., [3 pp. 198–200].

Now, the basic question we have raised here is what can be said on the basis of knowledge only of the numbers

$$\tau_n = \tau_{n,0} = \iint_B z^n dx dy, \quad n = 0, 1, \dots \tag{1.10}$$

We cannot obtain all the values (1.2) from a knowledge only of (1.10)—at least, not through (1.5). (For example, we cannot express $x^2 + y^2 = z\bar{z}$ as a polynomial in $1, z, z^2$.) We are dealing with a subset of the matrix M , and hence with a problem of Müntz type.

In the present paper, we make the assumption that B is restricted to be one of the simplest of elementary figures, namely, a triangle. We shall prove that a triangle T is uniquely determined by its first four complex moments.

2. COMPLEX MOMENTS OF A TRIANGLE

For three arbitrary complex numbers z_1, z_2, z_3 , introduce the three elementary symmetric functions

$$\begin{aligned} \text{sum} &= s = z_1 + z_2 + z_3, \\ t &= z_1z_2 + z_2z_3 + z_3z_1. \\ \text{product} &= p = z_1z_2z_3. \end{aligned} \quad (2.1)$$

Furthermore, for $n = \text{integer}$, set

$$G_n = \begin{vmatrix} 1 & 1 & 1 \\ z_1 & z_2 & z_3 \\ z_1^n & z_2^n & z_3^n \end{vmatrix}. \quad (2.2)$$

Note that G_2 is the Vandermonde of z_1, z_2, z_3 and

$$G_2 = (z_3 - z_1)(z_3 - z_2)(z_2 - z_1).$$

LEMMA 1.

$$\frac{G_3}{G_2} = s, \quad \frac{G_4}{G_2} = s^2 - t, \quad \frac{G_5}{G_2} = s^3 - 2st + 7p. \quad (2.3)$$

Proof. Computation.

Note that G_n/G_2 is a symmetric polynomial in z_1, z_2, z_3 , so that by the fundamental theorem of such polynomials, it must be a polynomial in s, t , and p . Lemma 1 gives the explicit representation for $n = 3, 4, 5$.

Let T designate a nondegenerate triangle whose vertices in any order are z_1, z_2, z_3 . Let $A = A(T)$ designate the (positive) area of T .

LEMMA 2. *Let $f(x)$ be any analytic function which is regular in T and continuous in the closure of T . Then*

$$\frac{1}{2A} \iint_T f''(z) dx dy = \begin{vmatrix} 1 & 1 & 1 \\ z_1 & z_2 & z_3 \\ f(z_1) & f(z_2) & f(z_3) \end{vmatrix} \div G_2. \quad (2.4)$$

Proof. See [2, p. 128] and also the references cited there. We have called (2.4) the *Motzkin-Schoenberg-Grunsky formula*.

COROLLARY 1. *For integer $n \geq 2$,*

$$(n(n-1)/2A) \iint_T z^{n-2} dx dy = G_n/G_2. \quad (2.5)$$

COROLLARY 2.

$$\begin{aligned} \tau_1 &= \iint_T z \, dx \, dy = \frac{A}{3} \frac{G_3}{G_2} = \frac{A}{3} s, \\ \tau_2 &= \iint_T z^2 \, dx \, dy = \frac{A}{6} \frac{G_4}{G_2} = \frac{A}{6} (s^2 - t), \\ \tau_3 &= \iint_T z^3 \, dx \, dy = \frac{A}{10} \frac{G_5}{G_2} = \frac{A}{10} (s^3 - 2st + 7p). \end{aligned} \tag{2.6}$$

Proof. Corollary 1 and Lemma 1.

COROLLARY 3.

$$\begin{aligned} s &= 3\tau_1 \div \tau_0, \\ t &= (9\tau_1^2 - 6\tau_0\tau_2) \div \tau_0^2, \\ p &= (10\tau_0^2\tau_3 + 27\tau_1^3 - 36\tau_0\tau_1\tau_2) \div \tau_0^3. \end{aligned} \tag{2.7}$$

Proof. $A = \tau_0$. Now solve (2.5) successively.

THEOREM. *A triangle T is determined uniquely by its first four complex moments $\tau_k = \iint_T z^k \, dx \, dy$, $k = 0, 1, 2, 3$.*

Proof. The first four moments determine s, t, p uniquely through (2.7). Now since $\phi(z) = (z - z_1)(z - z_2)(z - z_3) = z^3 - sz^2 + tz - p$, the polynomial $\phi(z)$ is determined uniquely. Hence also the roots z_1, z_2, z_3 , up to a permutation.

COROLLARY. *A triangle in the x, y plane is determined uniquely by the seven real numbers*

$$\begin{aligned} A(T) &= \iint_T dx \, dy, & \iint_T x \, dx \, dy, & \iint_T y \, dx \, dy, & \iint_T (x^2 - y^2) \, dx \, dy, \\ & \iint_T xy \, dx \, dy, & \iint_T (x^3 - 3xy^2) \, dx \, dy, & \iint_T (3x^2y - y^3) \, dx \, dy. \end{aligned}$$

Proof. Split $\tau_k, k = 0, 1, 2, 3$ into real and imaginary parts.

It should be observed that the identities (2.7) coupled with $\phi(z)$ provide an algorithm for constructing T from given values $\tau_0, \tau_1, \tau_2, \tau_3$. Note further that four arbitrary numbers are not necessarily the first four moments of a T . *Example:* $\tau_0 = A > 0, \tau_1 = 0, \tau_2 = \tau_3 = 0$.

3. SOME COUNTEREXAMPLES

(a) *Sets of measure 0.* If B and D differ by a plane set of measure 0, then, of course, $\mu_{m,n}(B) = \mu_{m,n}(D)$ and $\tau_{m,n}(B) = \tau_{m,n}(D)$. This is mentioned

because B and D may be essentially different as far as conformal mapping is concerned. For example: $B =$ unit disc., $D =$ unit disc with $0 \leq x \leq 1$ removed.

(b) *Connectivity.* Let B and D be two distinct annuli centered at $z = 0$ and such that $\text{area}(B) = \text{area}(D)$. Then, as an easy computation shows, $\tau_n(B) = \tau_n(D)$, $n = 0, 1, 2, \dots$.

(c) *Algebraic dependence.* It might be thought, in view of the above theorem, that any four numbers $\tau_{m,n}$ will determine a triangle uniquely. But this is not so. For example, the four numbers $\tau_{0,0}$, $\tau_{1,0}$, $\tau_{2,0}$, $\tau_{1,1}$ do not serve to determine T uniquely.

To show this, let T_1 and T_2 be any two equilateral triangles whose area is a fixed constant A and whose center of gravity is at $z = 0$. Therefore $\tau_{0,0}(T_1) = \tau_{0,0}(T_2) = A$. If $z_{c.g.}$ designates the center of gravity of a triangle T , then $z_{c.g.} = (1/A) \iint z \, dx \, dy = s/3$. Therefore, $\tau_{1,0}(T_1) = \tau_{1,0}(T_2) = 0$. If T is equilateral, then its vertices can be represented as $z_1 = z^*$, $z_2 = z^*\omega$, $z_3 = z^*\omega^2$ with $\omega^3 = 1$ and $|z^*| = \sigma$. Therefore $t = z_1z_2 + z_2z_3 + z_3z_1 = z^{*2}(\omega + 1 + \omega^2) = 0$. Thus, $t(T_1) = t(T_2) = 0$ so that by (2.6), $\tau_{2,0}(T_1) = \tau_{2,0}(T_2)$.

Finally, for any triangle T ,

$$\iint_T z\bar{z} \, dx \, dy = (A/12)(|z_1|^2 + |z_2|^2 + |z_3|^2 + |z_1 + z_2 + z_3|^2). \quad (3.1)$$

(See [1, p. 575].) Therefore,

$$\begin{aligned} \tau_{1,1}(T_1) &= \iint_{T_1} z\bar{z} \, dx \, dy = (A/12)(|z_1|^2 + |z_2|^2 + |z_3|^2) = A\sigma^2/4 \\ &= \tau_{1,1}(T_2). \end{aligned}$$

What is involved here, of course, are moment *invariants*. For example, if $m + p = n + q$ then the quantities $\tau_{m,n}\tau_{p,q}$ are invariant under rotations about the origin. The question of invariance under certain groups is of importance in pattern recognition.

In a paper which follows, we plan to apply the ideas here to the problem of the approximation of one region by another.

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